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Signature Date 7/1/03 Response to Final Office Action Group Art Unit 1765, EXPEDITED PROCEDURE FIS9-2000-0099-US1 PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE In re Application of: S. Ahmed Examiner: JOHN H. KELLER ET AL. Group Art Unit: Application No.: 09/730,672 Filed: December 6, 2000 For: METHOD FOR LIGHTING AN INDUCTIVELY COUPLED PLASMA AT LOW PRESSURE June 27, 2003 The Commissioner for Patents Mail Stop AF P.O. Box 1450

> RESPONSE TO FINAL OFFICE ACTION PETITION FOR EXTENSION OF TIME

Sir:

Alexandria, VA 22313

Applicants petition the Commissioner of Patents and Trademarks under 37 C.F.R. § 1.136(a) to extend the time for response to the Office Action dated March 18, 2003 for one month from June 18, 2003 to and including July 18, 2003.

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The Commissioner is authorized to charge the amount of \$ 110.00 to Deposit Account No. 09-0458 to cover the Extension fee. Any deficiency in or overpayment of this fee should likewise be charged or credited to Deposit Account No. 09-0458.

In response to the Office Action dated March 18, 2003, the applicants respectfully submit the following remarks:

This application has been reviewed in light of the Office Action dated March 18, 2003. Claims 1-7 are now presented for examination. Claim 1 is the only independent claim. Favorable review is respectfully requested.

Claims 1-7 were rejected under 35 U.S.C. § 103(a) as being unpatentable over Nallan et al. (U.S. Pat. No. 6,399,507). Applicants respectfully submit that independent claim 1 is patentable over the art cited by the Examiner, for the following reasons.

The present invention, as defined in claim 1, is directed to a method for lighting an inductive plasma in a plasma processing apparatus having a matching network. It is a feature of the invention that a matching condition is determined under which the matching network is tuned to a capacitive plasma, and the matching network is preset at those conditions.

It is a further salient feature of the invention that a second plasma is lit in accordance with those preset matching conditions and at a desired power. It is noteworthy that the

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desired power includes an excess power; that is, a component of power greater than that required to maintain the plasma as a capacitive plasma. An inductive plasma is then allowed to light due to this excess power.

Accordingly, in the practice of the present invention the second plasma is deliberately lit with a greater power than that used to maintain a capacitive plasma (see specification, page 7, lines 3-12).

Nallan et al. is understood to disclose a procedure for determining process conditions under which a plasma is stable. Nallan et al. teaches (col. 2, lines 55-56) that there are two such process regions, defined by combinations of RF power and gas pressure (col. 3, lines 23-30). One following the teaching of Nallan et al. would be motivated to vary the RF power and pressure until a region of stability _ was located, and then preset the process conditions accordingly. In particular, one following the teaching of Nallan et al. would not be motivated to first identify stable plasma-lighting conditions, and then use a higher RF power level. Nallan et al. suggests (col. 3, lines 48-51) that at a given pressure, increasing the RF power leads to instability in the plasma. Nallan et al. thus provides no motivation to first determine process conditions for a capacitive plasma, and then start another plasma with excess power.

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Nallan et al. mentions use of a matching network in lighting and operating a plasma (col. 7, lines 32-34). However, Nallan et al. is silent regarding the use of a 7 matching network in determining conditions under which a capacitive plasma may be lit. The procedure of Nallan et al. for locating desirable process regions evidently does not involve tuning the matching network. In particular, Nallan et al. does not suggest that an inductive plasma may be lit \leftarrow by presetting a matching network to conditions for maintaining a capacitive plasma, and then lighting another plasma at a different power. The Examiner states that it would have been obvious to determine a presetting condition of a matching network to tune the network for effectively lighting a plasma. Granted that one wishing to light an inductive plasma (and being skilled in setting matching network conditions) would preset some condition, it would not < have been obvious to use conditions known to be effective in . maintaining a capactive plasma. Nallan et al. offers no teaching or suggestion on this point. One following the teaching of Nallan et al., and desiring to start a stable inductive plasma, would be led to set the same matching conditions as observed in a steady-state inductive plasma, with the RF power and pressure determined previously. is contrary to the present invention, wherein matching network conditions previously determined for a capacitive

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plasma are used to light the plasma, and an inductive plasma subsequently lights due to the excess power.

The applicants respectfully submit that it is clear from the foregoing discussion that the teaching of Nallan et al. provides a method to achieve an objective that is clearly distinct from the applicants' claimed invention.

Furthermore, the applicants submit that Nallan et al. provides no motivation to one of ordinary skill in the art to achieve the applicants' claimed invention. Accordingly, the differences between the applicants' claimed invention and Nallan et al. are such that the applicants' claimed invention would not have been obvious to one of ordinary skill in the art at the time of applicants' invention.

The other claims in this application are each dependent from one or another of the independent claims discussed above and are therefore believed patentable for the same reasons. Since each dependent claim is also deemed to define an additional aspect of the invention, however, the individual consideration of the patentability of each on its own merits is respectfully requested.

CONCLUSION

In view of the foregoing amendments and remarks,

Applicants respectfully request favorable consideration and
early passage to issue of the present application.

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Applicants' undersigned attorney may be reached by telephone at (845) 894-3667. All correspondence should continue to be directed to the below listed address.

Respectfully submitted,

Anderson

Actorney for Applicants Registration No. 38,371

INTERNATIONAL BUSINESS MACHINES CORPORATION Intellectual Property Law Department B/300-482 2070 Route 52 Hopewell Junction, New York 12533 Facsimile: (845) 892-6363

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Re Applic of

Docket No.

Serial No.

Filing Date

Attorney

John H. Keller et al.

FIS920000099

09/730,672

12-06-00

Jay H. Anderson

Attached: Amendment

Petition for Extension of Time

PLEASE DELIVER TO:

EXAMINER: S. Ahmed

ART UNIT: 1765

703-305-1929 PHONE NO:

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FIS9-2000-0099-USI AFECEIVED
C 1700 Response to Final Office Action Group Art Unit 1765, EXPEDITED PROCEDURE

Docket No.

In re Application of:

JOHN H. KELLER ET AL.

Application No.: 09/730,672

Filed: December 6, 2000

For: METHOD FOR LIGHTING AN INDUCTIVELY

COUPLED PLASMA AT LOW PRESSURE

Examiner: S. Ahmed

Group Art Unit: 1765

Date: June 27, 2003

THE COMMISSIONER FOR PATENTS

Mail Stop AF P.O. Box 1450

Alexandria, VA 22313

Sir

Transmitted herewith is a Response to Final Office Action in the above-identified application.

X No additional fee is required.

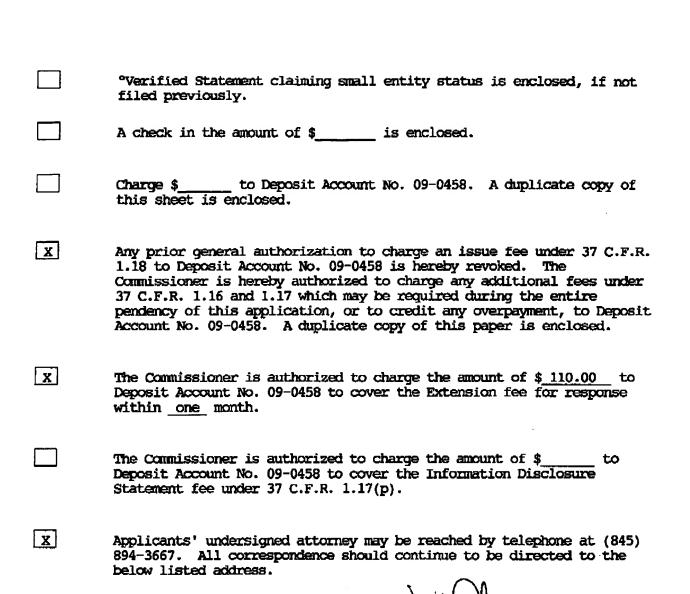
The fee has been calculated as shown below

	CLAIMS AS AMENDED						
	(2) CLAIMS REMAINING AFTER AMENDMENT		(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	RATE	ADDITIONAL FEE	
TOTAL	* _	MINUS	**	=	x \$ 9°	_	
CLAIMS	7		20	0	\$18	0	
INDEP.	*	MINUS	***	=	x \$42°		
CLAIMS	1 1		3	0_	\$84	0	
Fee for	Fee for Multiple Dependent Claims \$140°/\$280					none	
	TOTAL ADDITIONAL FEE						
	. [ENDMENT		\$ 0.00	

If the entry in Column 2 is less than the entry in Column 4, write "0" in Column

If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.



Jay H. Anderson

Attorney for Applicants Registration No. 38,371

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	Overified Statement claiming small entity status is enclosed, if not filed previously.
	A check in the amount of \$ is enclosed.
	Charge \$ to Deposit Account No. 09-0458. A duplicate copy of this sheet is enclosed.
ж	Any prior general authorization to charge an issue fee under 37 C.F.R. 1.18 to Deposit Account No. 09-0458 is hereby revoked. The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 09-0458. A duplicate copy of this paper is enclosed.
X	The Commissioner is authorized to charge the amount of \$\frac{110.00}{200}\$ to Deposit Account No. 09-0458 to cover the Extension fee for response within one month.
	The Commissioner is authorized to charge the amount of \$ to Deposit Account No. 09-0458 to cover the Information Disclosure Statement fee under 37 C.F.R. 1.17(p).
X	Applicants' undersigned attorney may be reached by telephone at (845) 894-3667. All correspondence should continue to be directed to the below listed address.
	Jay H. Anderson
	Attorney for Applicants
	Registration No. 38,371

INTERNATIONAL BUSINESS MACHINES CORPORATION Intellectual Property Law Department B/300-482 2070 Route 52 Hopewell Junction, New York 12533 Facsimile: (845) 892-6363

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